

Internal Audit Plan 2012/13

Southampton City Council – Summary Internal Audit Plan

	2012/13
Corporate Cross Cutting	140
Corporate Governance	158
Corporate Priorities	405
Information Technology	70
Financial Management	136
Miscellaneous Service Reviews	20
Other Direct Audit Activity	56
Total	985

Southampton City Council – Detailed Internal Audit Plan

Review	Audit Sponsor	Priority	Days
Corporate Cross Cutting			
Change Programme	ED	H	15
Corporate Communications and Marketing	ED	M	15
Health and Safety	CS	H	15
Information Governance	CS	H	15
Human Resources	CS	H	15
Procurement	CS	H	15
Contract Management	CS	H	20
Project management	CS	M	15
Strategic Service Partnership	CS	M	15
Total days			140

Review	Audit Sponsor	Priority	Days
Corporate Governance			
Annual Governance Statement	CS	M	5
Fraud Thematic Review(s)	CS	H	15
Precautions against fraud	CS	H	15
Reactive Fraud	CS	H	85
National Fraud Initiative	CS	H	25
Code of Conduct (Members)	CS	M	10
Effectiveness of the process of Internal Audit	CS	H	3
Total days			158

Review	Audit Sponsor	Priority	Days
Corporate Priorities			
More local people who are well educated and skilled			
Across Schools -Thematic Review	F&C - CS&L	H	20
General school reviews	F&C - CS&L	H	32
Schools Grant Funding	F&C - CS&L	M	15
MARP - Multi Agency Resource Panel	F&C - CS&L	M	12
Statutory Schools Work / Providers	F&C - CS&L	M	12
School support services	F&C - CS&L	H	15
Portage	F&C - CS&L	M	12
Reducing health inequalities			
Public Health	F&C - H&ASC	H	15
Sustainability (Carbon Reduction)	ED	H	15
More jobs for local people			
Capital Programme Management	ENV	M	15
Development Management	ED	M	15
Better protection for children and young people			
Safeguarding (Children & Young People)	F&C - CS&L	M	5
Safeguarding (Adults)	F&C - H&ASC	H	15
A better and safer place in which to live and invest			
Highways	ENV	M	15
Street Lighting PFI	ENV	M	15
Waste and Recycling Services	ENV	M	15
Itchen Bridge	ENV	H	12
Housing Operation Transformation	ENV	M	15
Street Cleansing and Parks & Open Spaces	ENV	M	15
Housing Needs	ENV	M	15
Heating Charges	ENV	H	15
Southampton New Arts Centre (SNAC)	ED	M	5
Estate Regeneration	ED	H	20
Support for the most vulnerable people and families			
Think Local, Act Personal - (Personalisation)	F&C - H&ASC	H	15
Joint Commissioning	F&C - H&ASC	H	20
Financial Assessment Process	F&C - H&ASC	M	15
PARIS - Care System	F&C - H&ASC	H	15
Total days			405
Information Technology			
Information Technology			
IT Applications & Operating Systems	CS	H	20
Network Management and Security	CS	H	20
Internet/e-mail	CS	M	20
Inventory Management	CS	H	10
Total days			70

Review	Audit Sponsor	Priority	Days
Financial Management			
Teachers Pensions	CS	H	20
Housing rent collection and Debt Management	ENV	M	12
Housing and Council Tax Benefits Administration	CS	M	12
Cash Collection and Banking	CS	M	12
Accounts Payable	CS	H	12
Accounts Receivable	CS	M	12
Financial Management	CS	M	10
Council Tax	CS	M	12
NNDR	CS	M	12
Main Accounting System	CS	M	10
Payroll	CS	M	12
Total days			136

Review	Audit Sponsor	Priority	Days
Miscellaneous Reviews			
PUSH	CS	H	10
Electoral Registration	CS	M	10
Total days			20

Review	Audit Sponsor	Priority	Days
Other Direct Audit Activity			
Annual Audit Report	CS	-	3
Audit Strategy	CS	-	3
Operational Plan Preparation	CS	-	5
Monitoring, Liaising & Reporting	CS	-	15
External Audit Liaison	CS	-	5
Audit Committee	CS	-	10
Follow Up	CS	-	15
Total days			56
Total Days			985

External work	15
Total Audit Days	1000